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Intellectual Property Law Department  
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Section 11

#3 ✓

Docket No.: **P5210 US**

October 30, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

RE: Applicants: HEILIG, Joerg; LAUX, Thorsten  
Assignee: Sun Microsystems, Inc.  
Title: DATA ACCESS SYSTEM AND METHOD WITH PROXY AND  
REMOTE PROCESSING  
Serial No.: 09/931,844 Filing Date: August 16, 2001  
Examiner: UNKNOWN Group Art Unit: 2152  
Docket No.: **P5210 US**

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

1. This Transmittal Letter (2 pages);
2. Return Receipt Postcard
3. Response To Notice To File Missing Parts Of Nonprovisional Application (2 pages, in duplicate);
4. Combined Declaration and Power Of Attorney signed by inventors in compliance with 37 CFR § 1.63 (4 pages);
5. Copy of Notice To File Missing parts of Nonprovisional Application; and
6. Certified Copy of European Patent Application No. 00 117 311.1, filed on August 18, 2000.

Total fee for this submission: \$ 130.00

The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to our Deposit account No.: 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on October 30, 2001.

  
Attorney For Applicant(s)

Oct. 30, 2001

Date of Signature

Respectfully submitted,



Elaine K. Lee  
Attorney for Applicants  
Reg. No.: 41,936

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: HEILIG, Joerg; LAUX, Thorsten  
Assignee: Sun Microsystems, Inc.  
Title: DATA ACCESS SYSTEM WITH PROXY AND REMOTE  
PROCESSING  
Serial No.: 09/931,844 Filing Date: 8/16/01  
Examiner: Unknown Group Art Unit: 2152  
Docket No.: P5210 US Confirmation No. 4555

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October 30, 2001

BOX MISSING PARTS  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D. C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

Responsive to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on September 27, 2001, the following document are enclosed to complete the filing of the above-identified patent application:

1. A Combined Declaration and Power Of Attorney signed by inventors in compliance with 37 CFR § 1.63 (4 pages);
2. Copy of Notice to File Missing Parts of Nonprovisional Application; and
3. Certified Copy of European Patent Application No. 00 117 311.1, filed on August 18, 2000, from which we claim priority.

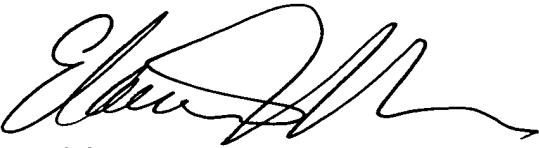
The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-4974:

1. Surcharge for filing declaration on a date later than the  
filing date of application. \$130.00  
TOTAL FEES \$130.00

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4974. A duplicate copy of this authorization is enclosed.

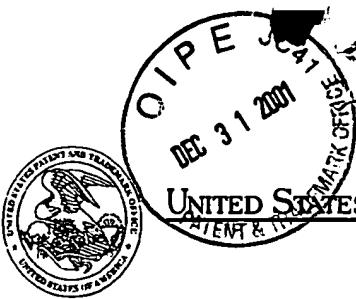
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 30, 2001.

  
Agent for Applicants

  
October 30, 2001  
Date of Signature

Respectfully submitted,

  
Elaine K. Lee  
Attorney for Applicants  
Reg. No. 41,936



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,844	08/16/2001	Joerg Heilig	P5210 US

24726  
 SUN MICROSYSTEMS INC  
 901 SAN ANTONIO RD  
 MS PALO1-521  
 PALO ALTO, CA 94303

## CONFIRMATION NO. 4555

## FORMALITIES LETTER



\*OC000000006802579\*

Date Mailed: 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

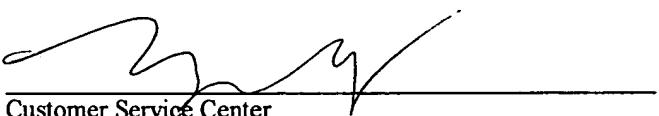
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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